

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Timothy J. Smith et al
Serial No.: 09/829,866
For: Delivery Management System Capacity Process and Display
INFORMATION DISCLOSURE STATEMENT

- 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
- 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

SIR:

The following are submitted in the above identified application in compliance with
37 CFR 1.97 & 1.98:

- 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation
or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

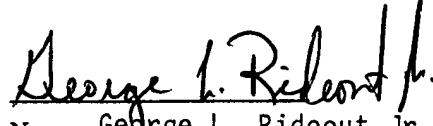
- 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- 8 The required certification made in item 11 below; or
- 9 The \$200.00 fee specified in 37 CFR §1.17(p) for submission of this
Information Disclosure Statement is authorized in item 14 below.
- 10 37 CFR §1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition
fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to:
"Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g.
"Allowed Files, Batch _____, Date of Allowance _____"), and
- b) The required Certification is stated in item 11 below.

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[]11 Certification

- []12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- []13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [XX]14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0844. An original and two (2) copies of this document are enclosed.

Respectfully submitted,


Name George L. Rideout Jr.
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT -
LIST OF ITEMS
DEC 31 2001
(Use several sheets if necessary)

9D-EC-19759

09/829,866

Applicant

Timothy Smith et al

Filing Date

4/10/01

Group

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5	2	6	5	0	0	6	11/23/93	Asthana et al			
AB	5	6	6	6	4	9	3	9/9/97	Wofcik et al			
AC	5	7	5	8	3	2	9	5/26/98	Wojcik et al			
AD	6	0	8	5	1	7	0	7/4/00	Tsukuda			
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AF												JAN 08 2002
AG												Group 2100
AH												
AI												
AJ												
AK												

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AL												
	AM												
	AN												
	AO												
	AP												

OTHER INFORMATION (Including Author, Title, Date, Pertinent)

	AR	
	AS	
	AT	

EXAMINER	DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not cited in accordance with MPEP 609; Draw line through citation if not considered. Include copy of this form with next communication to applicant.